

# Lattice sieving via quantum random walks

**Johanna Loyer**

Joint work with André Chailloux

*Inria*

# Overview

## 1. Preliminaries

- Lattices

- Locality sensitive filtering (LSF)

- Quantum Computing

## 2. Our algorithm

## 3. Complexity and space/time trade-offs

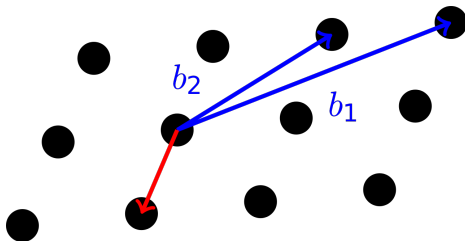
# Lattice and SVP

## Lattice

The  $d$ -dimensional lattice  $\mathcal{L} \subset \mathbb{R}^m$  generated by the basis  $B = (\vec{b}_1, \dots, \vec{b}_d)$  with  $\forall i, \vec{b}_i \in \mathbb{R}^m$  is the set of all integer linear combinations of its basis vectors:  $\mathcal{L}(B) = \left\{ \sum_{i=1}^d \lambda_i \vec{b}_i, \lambda_i \in \mathbb{Z} \right\}$ .

## Shortest Vector Problem (SVP)

Given a lattice  $\mathcal{L}$ , find the shortest non-zero vector  $\vec{v} \in \mathcal{L}$ , ie. st.  $\|\vec{v}\| = \inf \left\{ \|\vec{u}\| \neq 0, \vec{u} \in \mathcal{L} \right\}$ .



# Why do we want to solve SVP?

## Cryptography

- NP-hard problem, hard in average.
- Problems derived from SVP: SIS, LWE, NTRU...
- Quantum-resistant cryptosystems based on them: Dilithium, FALCON, NTRU, Kyber, SABER.

# Why do we want to solve SVP?

## Cryptography

- NP-hard problem, hard in average.
- Problems derived from SVP: SIS, LWE, NTRU...
- Quantum-resistant cryptosystems based on them: Dilithium, FALCON, NTRU, Kyber, SABER.

## Cryptanalysis

- Broken if a reduced basis of the lattice can be found.
- BKZ algorithm finds a reduced basis.
- Solving SVP = subroutine of BKZ

⇒ The security of these cryptosystems directly relies on the complexity of solving SVP.

## SVP-solving methods

- Main practical methods: enumeration and sieving.
- Run in exponential time.

**Main heuristic:** Lattice vectors acts as random vectors.

- Implies that vectors of norm at most  $R$  are lying on the border of  $R \cdot \mathcal{S}^d$ , with  $R \cdot \mathcal{S}^d := \{\vec{x} \in \mathbb{R}^d : \|\vec{x}\| \leq R\}$ .
- Validated by experiments.

# Sieving

## Nguyen-Vidick Sieve (NV-sieve) [NV08]

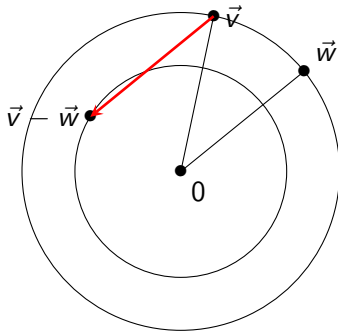
**Input:** list  $L$  of  $N$  lattice vectors of norm at most  $R$  ;  $\gamma < 1$ .

**Output:** list  $L'$  of  $N$  lattice vectors of norm at most  $\gamma R < R$ .

for  $(\vec{v}, \vec{w}) \in L$  :

if  $\|\vec{v} - \vec{w}\| \leq \gamma R$  : add  $\vec{v} - \vec{w}$  to  $L'$

Sphere of dimension  $d$  and  
radius  $R$ .



# Sieving

## Nguyen-Vidick Sieve (NV-sieve) [NV08]

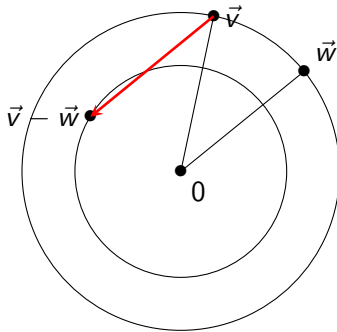
**Input:** list  $L$  of  $N$  lattice vectors of norm at most  $R$  ;  $\gamma < 1$ .

**Output:** list  $L'$  of  $N$  lattice vectors of norm at most  $\gamma R < R$ .

for  $(\vec{v}, \vec{w}) \in L$  :

if  $\|\vec{v} - \vec{w}\| \leq \gamma R$  : add  $\vec{v} - \vec{w}$  to  $L'$

Sphere of dimension  $d$  and radius  $R$ .



If  $\vec{v}, \vec{w} \in \mathcal{L}$  then  $\vec{v} - \vec{w} \in \mathcal{L}$ .

For  $\gamma \rightarrow 1$  and  $\vec{v}, \vec{w} \in R \cdot \mathcal{S}^d$ ,  
 $\|\vec{v} - \vec{w}\| \leq \gamma R \Leftrightarrow \theta(\vec{v}, \vec{w}) \leq \frac{\pi}{3}$ .



# Sieving - Solving SVP

## Solve SVP by sieving

**Input:** a lattice  $\mathcal{L}$  of basis  $(\vec{b}_1, \dots, \vec{b}_d)$

**Output:** a shortest vector of  $\mathcal{L}$  (probably)

$L \leftarrow$  generate  $N = (4/3)^{d/2+o(d)}$  lattice vectors  $\triangleright$  by Klein's algorithm

**while**  $L$  does not contain a short vector :

$L \leftarrow$  **NV-sieve step**( $L, \gamma \rightarrow 1$ )

**return**  $\min(L)$

1st iteration: norm  $\gamma R$

2nd iteration:  $\gamma^2 R$

$\vdots$

$\text{poly}(d)$ -th iteration:  $\gamma^{\text{poly}(d)} R$

**Complexity:**  $N^2 = 2^{0.415d+o(d)}$  time and  $N = 2^{0.208d+o(d)}$  space.

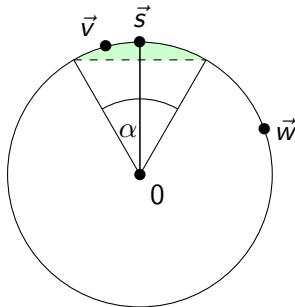
# LSF (Locality Sensitive Filtering)

**Improvement of the NV-sieve:** only check pairs of close vectors.

## Filter

A **filter**  $f_{\vec{s}, \alpha}$  of center  $\vec{s} \in \mathbb{R}^d$  and angle  $\alpha \in [0, \pi/2]$  maps a vector  $\vec{v}$  to a boolean value:

- 1 if  $\theta(\vec{v}, \vec{s}) \leq \alpha$ ,
- 0 else.



# LSF (Locality Sensitive Filtering)

## NV-sieve with LSF

1. Generate filters all over the sphere.  $\triangleright$  centers = words from a code
2. Add each vector to its nearest filters of angle at most  $\alpha$ .  $\triangleright$  list decoding algorithm
3. For each vector : search a reducing one within its filters (instead of in the whole list).
  - Classically or by Grover's search

**Complexity** ( $2^{0.208d+o(d)}$  space):

Original NV-sieve [NV08]:  $2^{0.415d+o(d)}$  time.

Classic with LSF [BDGL16]:  $2^{0.292d+o(d)}$  time.

Quantum with LSF [Laa16]:  $2^{0.265d+o(d)}$  time.

## Grover's algorithm

**Input:**  $x_1, \dots, x_n \in E^d$  and a function  $f : E^d \rightarrow \{0, 1\}$ .

**Output:**  $i \in [1, n]$  such that  $f(x_i) = 1$ .

**Time complexity:**  $O(\sqrt{n})$ .

## Quantum Random Walk

**Input:** a graph  $G = (V, E)$ ,  
a function  $f : V \rightarrow \{0, 1\}$  with  $f(v) = 1 \Leftrightarrow v$  is a "**marked**" vertex.

**Output:** a marked vertex  $v \in V$ .

(Will be illustrated further with an example.)

# Our algorithm

## NV-sieve using quantum random walks

**Input:** list  $L$  of  $N$  lattice vectors of norm at most  $R$  ;  $\gamma < 1$ .

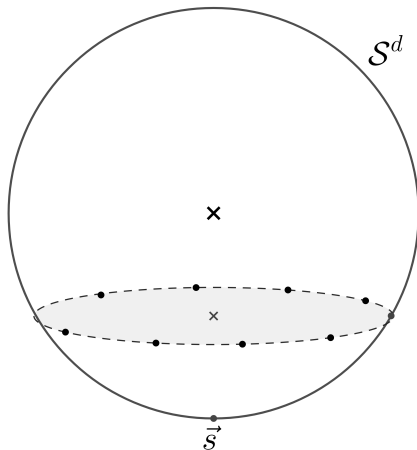
**Output:** list  $L'$  of  $N$  lattice vectors of norm at most  $\gamma R < R$ .

**Main idea:** Replace Grover's search by a quantum random walk.

## Step 1

Sample a code  $C$  and generate the  $\alpha$ -filters.

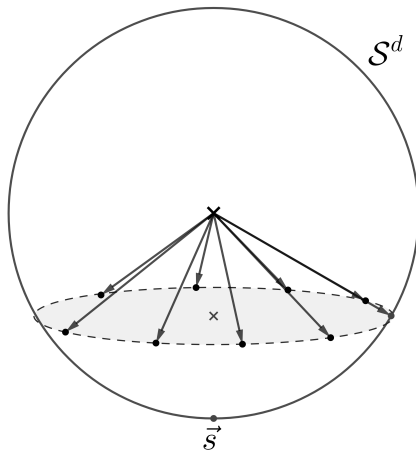
Insert each list vector in its (unique) nearest  $\alpha$ -filter.  $\triangleright N^{c_\alpha}$  vectors per  $\alpha$ -filter.  $c_\alpha \in [0, 1]$



## Step 1

Sample a code  $C$  and generate the  $\alpha$ -filters.

Insert each list vector in its (unique) nearest  $\alpha$ -filter.  $\triangleright N^{c_\alpha}$  vectors per  $\alpha$ -filter.  $c_\alpha \in [0, 1]$

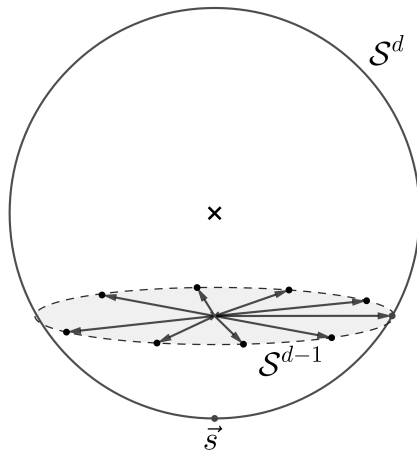




## Step 1

Sample a code  $C$  and generate the  $\alpha$ -filters.

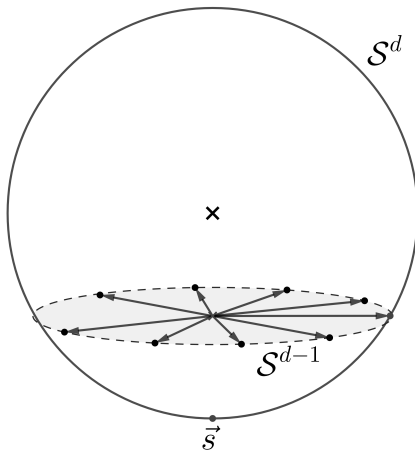
Insert each list vector in its (unique) nearest  $\alpha$ -filter.  $\triangleright N^{c_\alpha}$  vectors per  $\alpha$ -filter.  $c_\alpha \in [0, 1]$



## Step 1

Sample a code  $C$  and generate the  $\alpha$ -filters.

Insert each list vector in its (unique) nearest  $\alpha$ -filter.  $\triangleright N^{c_\alpha}$  vectors per  $\alpha$ -filter.  $c_\alpha \in [0, 1]$



For  $\vec{v}, \vec{w} \in \mathcal{S}^d$  and their residual vectors  $\vec{v}_R, \vec{w}_R \in \mathcal{S}^{d-1}$ ,

$$\theta(\vec{v}, \vec{w}) \leq \frac{\pi}{3} \Leftrightarrow \theta(\vec{v}_R, \vec{w}_R) \leq \theta_\alpha^*.$$

## Step 2

For each  $\alpha$ -filter :

1. VERTEX : Choose randomly  $N^{cv}$  vectors from the  $\alpha$ -filter.
2. Sample a code  $C'$  and generate the  $\beta$ -filters.  
Insert each VERTEX's vector in its nearest  $\beta$ -filter.
3. Perform Quantum Random Walks to find all the reducing pairs in the  $\alpha$ -filter.

# Quantum Random Walk

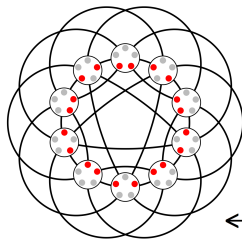
## Quantum Random Walk

**Input:** a graph  $G = J(N^{c_\alpha}, N^{c_V})$ ,  
a vertex is marked iff. contains a pair of angle at most  $\theta_\alpha^*$ .

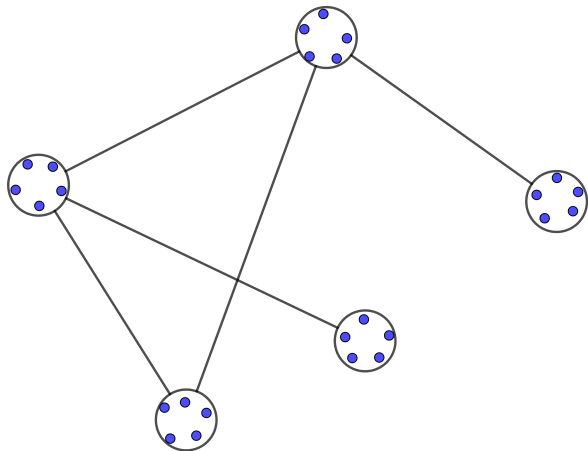
**Output:** a marked vertex.

Johnson's graph  $J(N^{c_\alpha}, N^{c_V})$ :

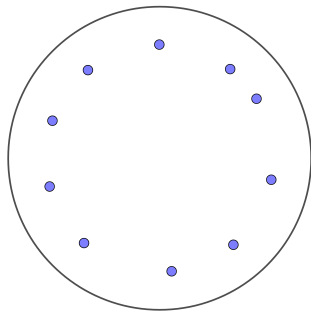
- Vertexes  $V$ : set of  $N^{c_V}$  from the  $N^{c_\alpha}$  of the current  $\alpha$ -filter.
- Edges  $E$ : 2 vertexes are neighbors iff. they differ by exactly 1 vector.



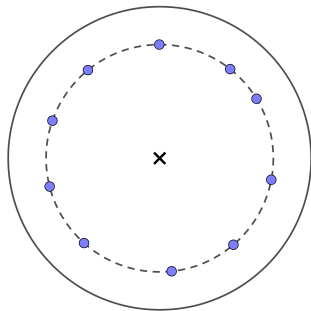
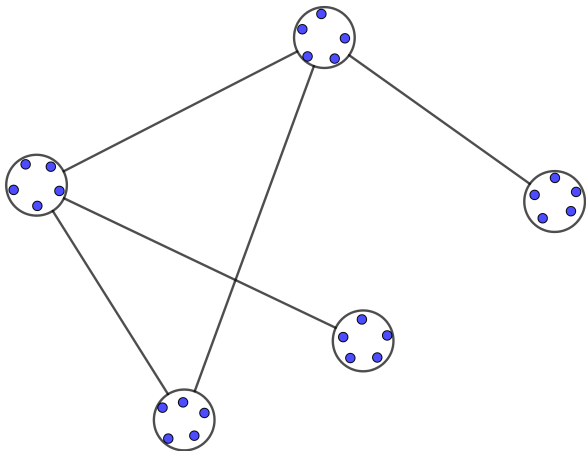
← Johnson's graph  $J(5,2)$

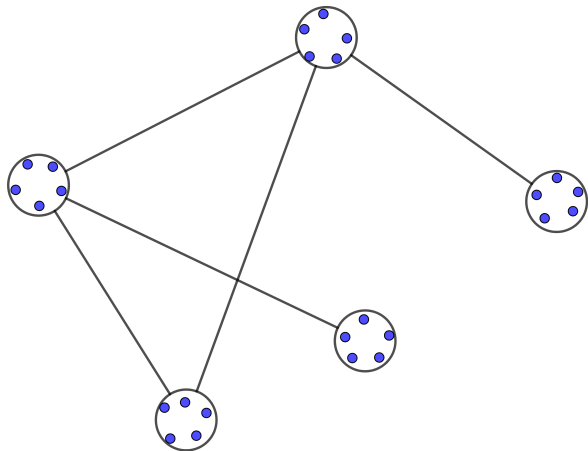


Q Zoom on the current vertex

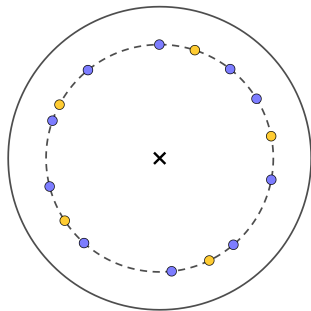


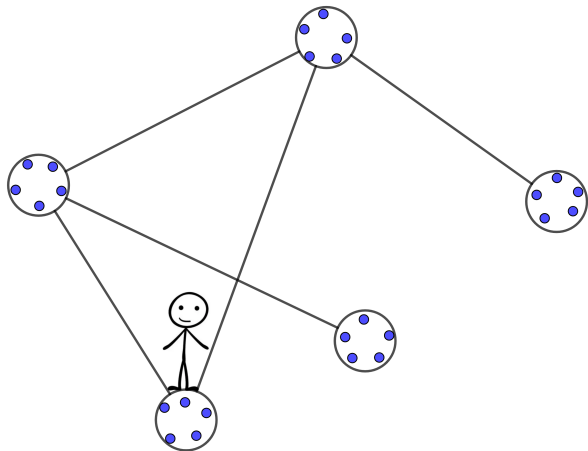
Q Zoom on the current vertex



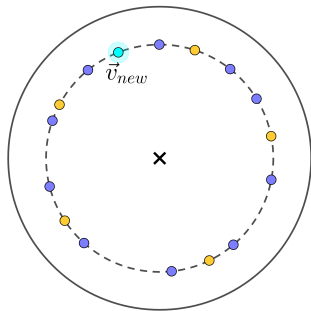


Q Zoom on the current vertex

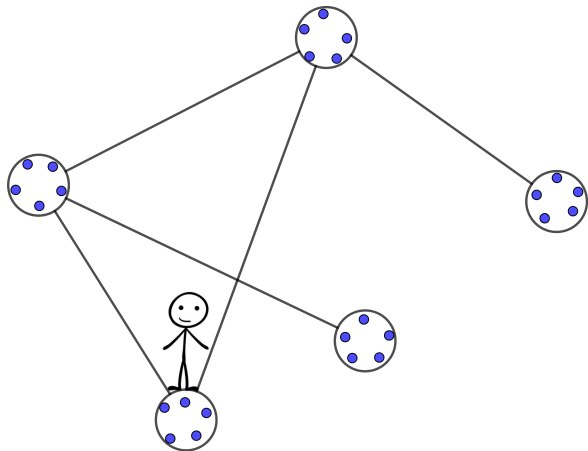




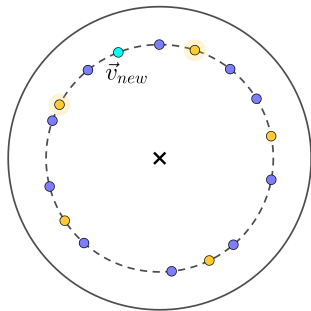
Q Zoom on the current vertex

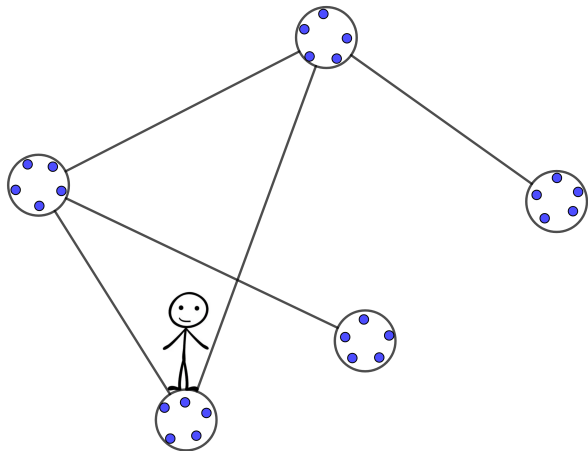




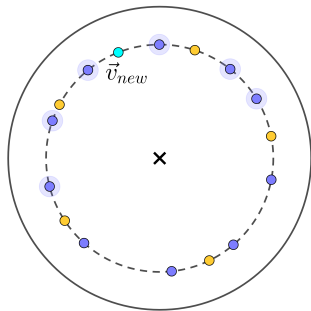


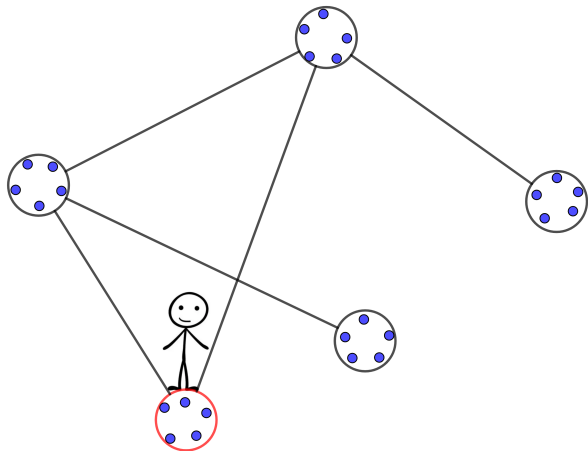
Q Zoom on the current vertex



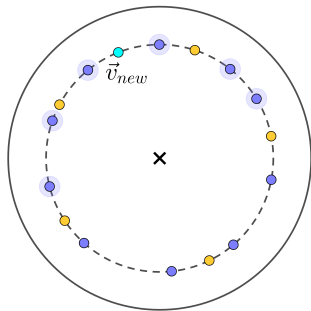


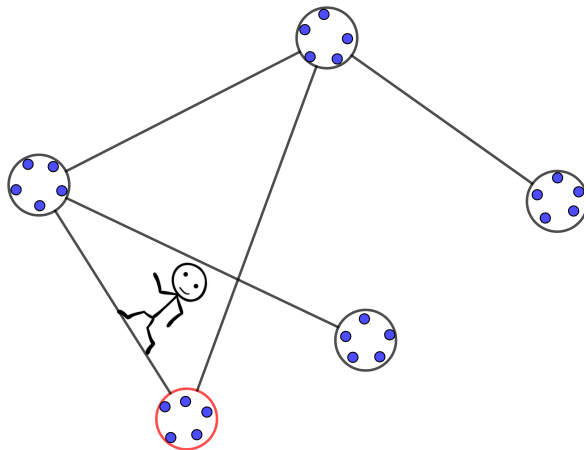
Q Zoom on the current vertex



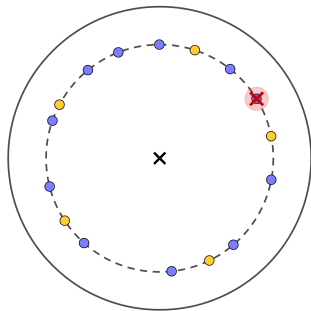


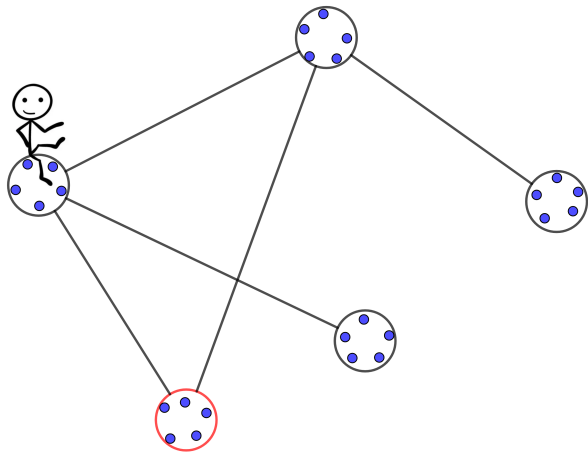
Q Zoom on the current vertex



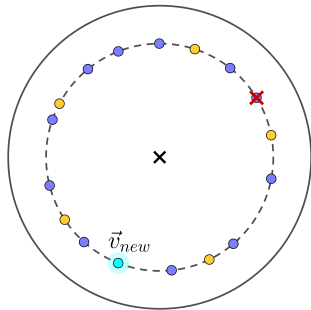


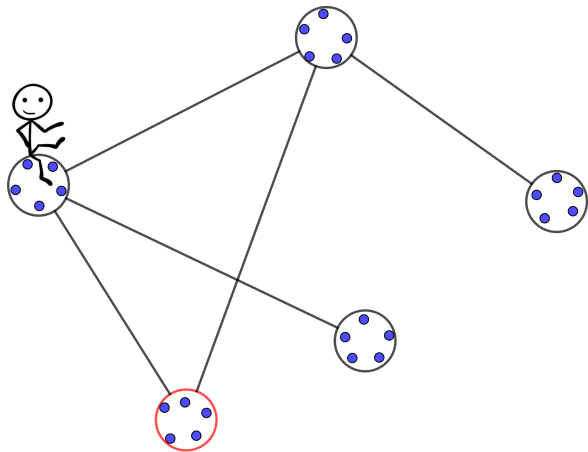
Q Zoom on the current vertex



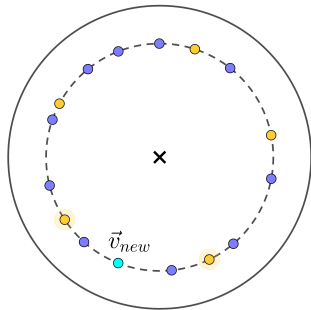


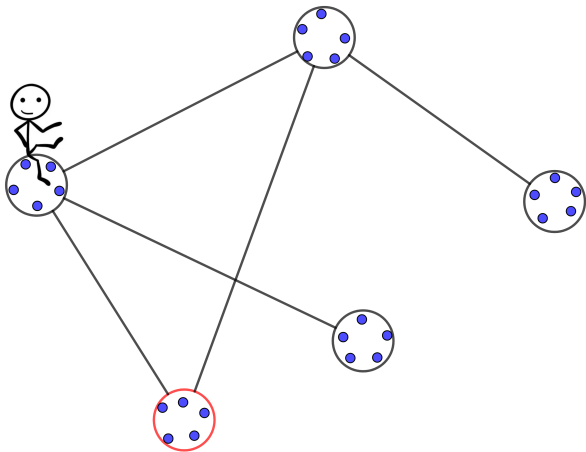
Q Zoom on the current vertex



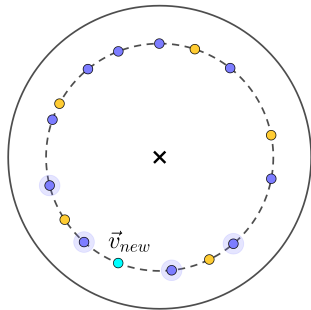


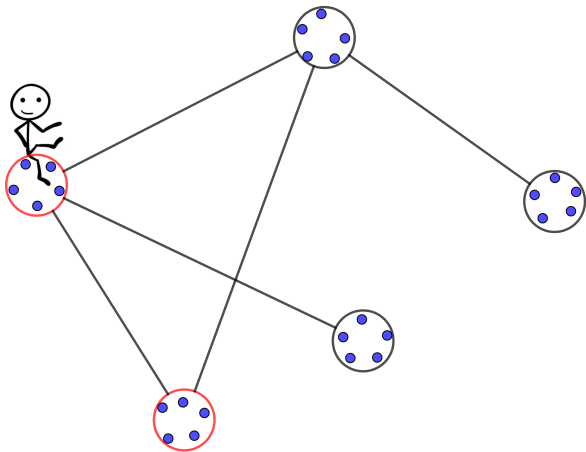
Q Zoom on the current vertex



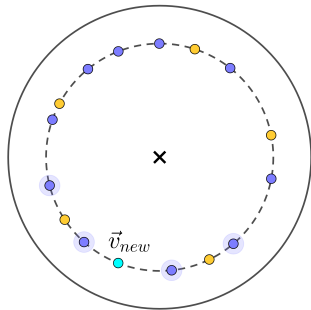


Q Zoom on the current vertex

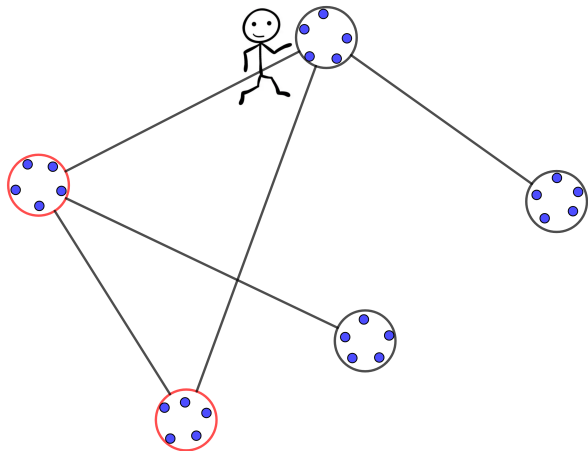




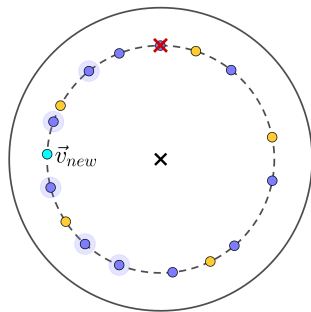
Q Zoom on the current vertex

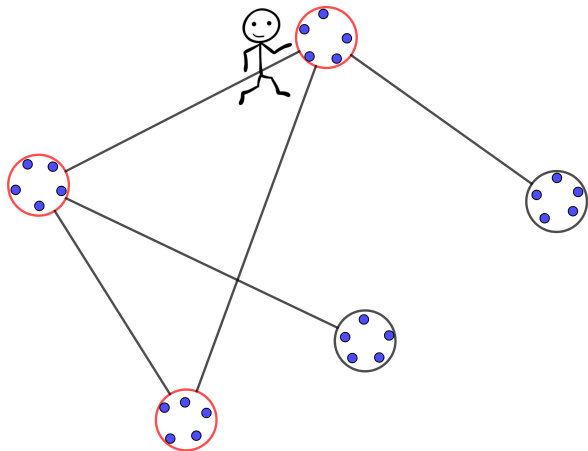




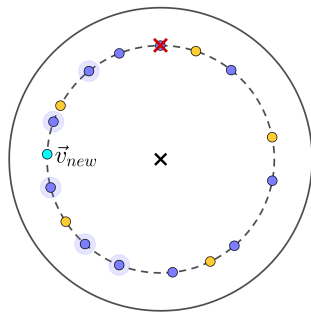


Q Zoom on the current vertex

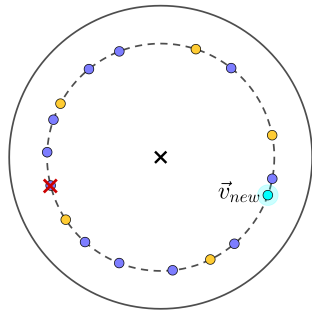
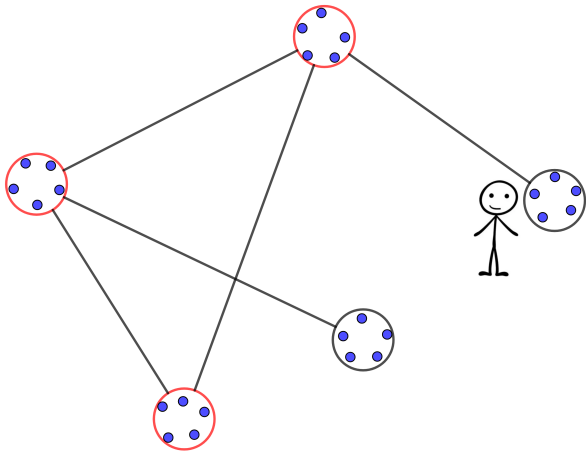




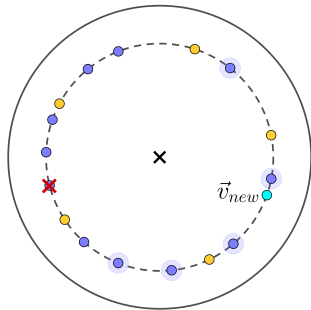
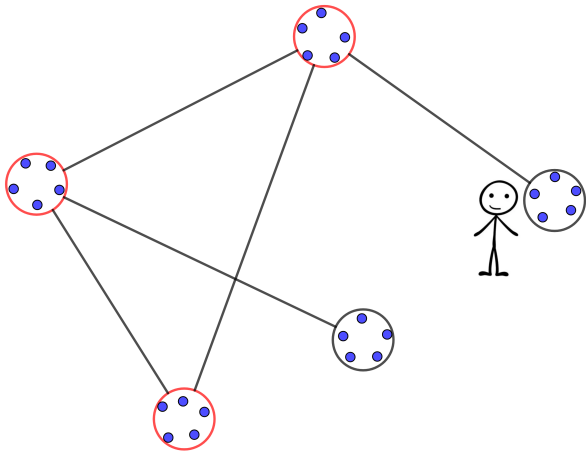
Q Zoom on the current vertex

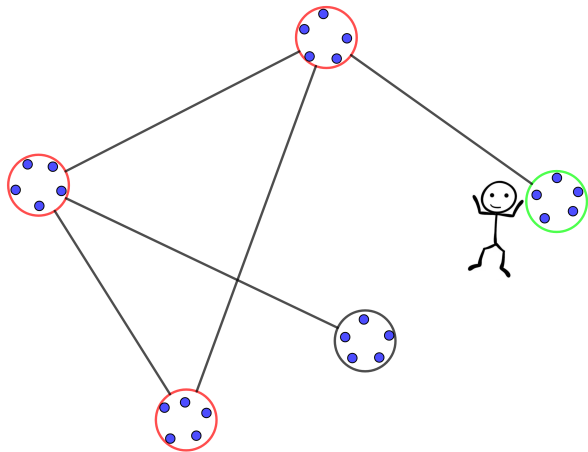


Q Zoom on the current vertex

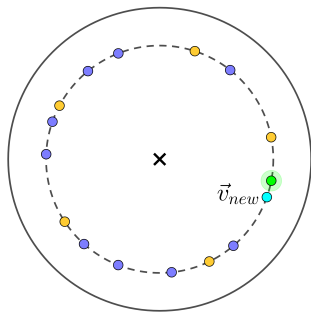


Q Zoom on the current vertex





Q Zoom on the current vertex



# Classic VS Quantum Random Walk

## Classic Random Walk

Randomly choose 1 neighbor vertex.

## Quantum Random Walk

Quantum superposition of all the neighbors vertexes.

## Step 1

Sample a code  $C$  and generate the  $\alpha$ -filters.

Insert each list vector in its (unique) nearest  $\alpha$ -filter.  $\triangleright N^{c_\alpha}$  vectors per  $\alpha$ -filter

## Step 2

For each  $\alpha$ -filter :

1. VERTEX : Choose randomly  $N^{c_v}$  vectors from the  $\alpha$ -filter.  $\triangleright N^{c_v}$  vectors in the vertex
2. Sample a code  $C'$  and generate the  $\beta$ -filters.  
Insert each VERTEX's vector in its nearest  $\beta$ -filter.
3. Perform Quantum Random Walks to find all the reducing pairs in the  $\alpha$ -filter.

## Step 1

Sample a code  $C$  and generate the  $\alpha$ -filters.

Insert each list vector in its (unique) nearest  $\alpha$ -filter.  $\triangleright N^{c_\alpha}$  vectors per  $\alpha$ -filter

## Step 2

For each  $\alpha$ -filter :

1. VERTEX : Choose randomly  $N^{c_v}$  vectors from the  $\alpha$ -filter.  $\triangleright N^{c_v}$  vectors in the vertex
2. Sample a code  $C'$  and generate the  $\beta$ -filters.  
Insert each VERTEX's vector in its nearest  $\beta$ -filter.
3. Perform Quantum Random Walks to find all the reducing pairs in the  $\alpha$ -filter.

## Repetitions

Run the steps 1-2 until we get  $N$  reduced vectors.



# Complexity

**Time complexity** of a complete sieve step:

$$N \cdot \left( \mathcal{S} + \frac{1}{\sqrt{\epsilon}} \left( \frac{1}{\sqrt{\delta}} \mathcal{U} + \mathcal{C} \right) \right)$$

**Parameters:**

- $c_\alpha$  :  $N^{c_\alpha}$  vectors per  $\alpha$ -filter of  $\mathcal{C}$ .
- $c_V$  :  $N^{c_V}$  vectors per vertex in the graph.

# Optimal complexity

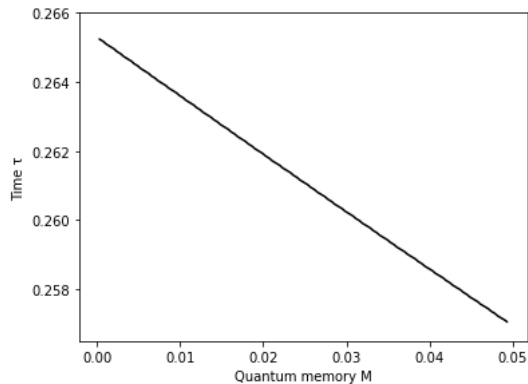
Our algorithm with parameters

$$c_\alpha \approx 0.3696 \quad ; \quad c_V \approx 0.2384$$

heuristically solves SVP on dimension  $d$

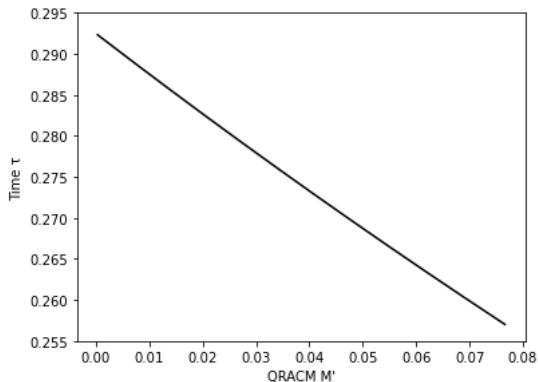
- in time  $2^{0.2570d+o(d)}$ ,
- uses QRAM of maximum size  $2^{0.0767d+o(d)}$ ,
- uses quantum memory of maximum size  $2^{0.0495d+o(d)}$
- and uses classical memory of size  $2^{0.2075d+o(d)}$ .

# Trade-off – fixed quantum memory



Quantum memory/time trade-off.

# Trade-off – fixed QRAM



QRAM/time trade-off.

# Trade-off – Synthesis

Time	<b>0.2925</b>	0.2827	0.2733	<b>0.2653</b>	0.2621	0.2598	<b>0.2570</b>
QRAM	<b>0</b>	0.02	0.04	<b>0.0578</b>	0.065	0.070	<b>0.0767</b>
Qmem	<b>0</b>	0	0	<b>0</b>	0.0190	0.0324	<b>0.0495</b>
Comment	[BDGL16] alg.			[Laa16] alg.			opt.param

Figure: Time, QRAM and quantum memory values for our algorithm.

# Conclusion

- Time to break a cryptosystem based on SVP:  $2^{0.2653d+o(d)} \rightarrow 2^{0.2570d+o(d)}$ .
- 128 bits of security  $\rightarrow$  124.
- Fix with a slight increase of the parameters.

Thank you for your attention!

# References



P.Q. Nguyen and T. Vidick (2008)

Sieve algorithms for the shortest vector problem are practical

J. Math. Crypt. 2, 181 – 207. <https://doi.org/10.1515/JMC.2008.009>



A. Becker, L. Ducas, N. Gama and T. Laarhoven (2016)

New directions in nearest neighbor searching with applications to lattice sieving

Proc. of the 2016 Annual ACM-SIAM Symposium on Discrete Algorithms.

<https://doi.org/10.1137/1.9781611974331.ch2>



T. Laarhoven (2016)

(PhD thesis) Search problems in cryptography, From fingerprinting to lattice sieving

Eindhoven University of Technology. [https://research.tue.nl/files/14673128/20160216\\_Laarhoven.pdf](https://research.tue.nl/files/14673128/20160216_Laarhoven.pdf)



F. Magniez, A. Nayak, J. Roland and M. Santha (2011)

Search via quantum walk

SIAM J. Comput. Vol. 40(1) 142 – 164. <https://doi.org/10.1137/090745854>



A. Chailloux and J. Loyer (2021)

Lattice sieving via quantum random walks

ASIACRYPT21. <https://eprint.iacr.org/2021/570.pdf>